

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U65922KA2014PLC077547
2.	Registration Date	December 02, 2014
3.	Name of the Company	Nivara Home Finance Limited
4.	Category/Sub-category of the Company	Company limited by shares/ Non-Government Company
5.	Address of the Registered office & contact details	No 22,23,24,25/101/3, 3rd floor, BNR Complex, Sri Rama Layout, J.P Nagar 7th phase, opp. RBI layout, Bangalore-560078
6.	Whether listed company	No
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Institutions granting credit for house purchase	6492	96.75%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl no	Name address of co	CIN	Holding, subsidiary, Associate	% of shares	App section
			NOT APPLICABLE		

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

A. Category-wise Share Holding

Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-	-
2. Non-Institutions										
a) Bodies Corp.	-	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	2	-	2	0.00	0.00	
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	49,99,998	-	49,99,998	9.99	+9.99	
c) Others (specify)	-	-	-	-	-	-	-	-	-	-
Non Resident Indians	-	-	-	-	-	-	-	-	-	-
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-	-
Trusts	-	-	-	-	-	-	-	-	-	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	-	-	-	-	50,00,000	-	50,00,000	9.99	9.99	
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	350,00,000	350,00,000	100	500,00,000	-	500,00,000	100	0	

B. Shareholding of Promoters

SN	Shareholder's Name	No. of Shares held at the beginning of the year [As on 1/4/2018]			No. of Shares held at the end of the year (As on 31/3/2019)			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Sunil G. Rohokale	1,39,93,750	39.98	Nil	1,99,93,750	39.99	Nil	+00.01
2	Monik S. Koticha	1,39,99,998	40.00	Nil	1,50,00,000	30.00	Nil	-10.00
3	Chalamalasetti Venkateshwara Rao	69,93,750	19.98	Nil	99,93,750	19.99	Nil	+00.01
4	Mrs. Mehervani Chalamalasetti	6250	0.02	Nil	6250	0.01	Nil	0
5	Mrs. Geetanjali Rohokale	6250	0.02	Nil	6250	0.01	Nil	0
6	Amit Bhagat	1	0.00	Nil	0	0	Nil	0
7	Sangeeta Bhagat	1	0.00	Nil	0	0	Nil	0
TOTAL		349,87,500	100	Nil	449,87,500	100	Nil	-9.98

C. Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at beginning/end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Mr. Sunil G. Rohokale				
	At the beginning of the year	1,39,93,750	39.98		
	ADD: Allotment of Equity shares 21/4/2018	60,00,000		1,99,93,750	39.99
	At the end of the year	1,99,93,750	39.99		
2.	Mr. Amit Bhagat				
	At the beginning of the year	1	0.00		
	LESS: Transfer of Equity Shares 28/09/2018	1	0.00	0	0.00
	At the end of the year	0	0.00		
3.	Mrs. Sangeetha Bhagat				
	At the beginning of the year	1	0.00		
	LESS: Transfer of Equity Shares 28/09/2018	1	0.00	0	0.00
	At the end of the year	0	0.00		
4.	Mr. Monik S. Koticha				
	At the beginning of the year	1,39,99,998	40.00		

	LESS: Transfer of Equity Shares 16/04/2018	20,00,000	6.00	1,19,99,998	34.00
	LESS: Transfer of Equity Shares 16/04/2018	5,00,000	1.00	1,14,99,998	33.00
	LESS: Transfer of Equity Shares 16/04/2018	6,249	0.00	1,14,93,749	33.00
	LESS: Transfer of Equity Shares 16/04/2018	19,87,500	6.00	95,06,249	27.00
	LESS: Transfer of Equity Shares 16/04/2018	5,00,000	1.00	90,06,249	26.00
	LESS: Transfer of Equity Shares 16/04/2018	6,249	0.00	90,00,000	26.00
	ADD: Allotment of Equity shares 21/4/2018	60,00,000	12.00	1,50,00,000	30.00
	At the end of the year	1,50,00,000	30.00		
5.	Mr. Chalamalasetti Venkateswara Rao				
	At the beginning of the year	69,93,750	19.98		
	ADD: Allotment of equity shares 21/04/2018	30,00,000	6.00	99,93,750	19.98
	At the end of the year	99,93,750	19.98		
6.	Mrs. Geetanjali Rohokale				
	At the beginning of the year	6,250	0.02		
	No Change during the year	-	-	6,250	0.01
	At the end of the year	6,250	0.01		
7.	Mrs. MeherVani Chalamalasetti				
	At the beginning of the year	6,250	0.02		
	No change during the year	-	-	6,250	0.01
	At the end of the year	6250	0.01		

D. Shareholding Pattern of top ten Shareholders: (Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Sameer K Koticha				
	At the beginning of the year	0	0		
	ADD: Transfer of shares 16/04/2018	49,99,998	9.99	49,99,998	9.99
	At the end of the year	49,99,998	9.99		
2.	Vami Koticha				
	At the beginning of the year	0	0.00		
	Add: Transfer of shares 28/09/2018	2	0.00	2	0.00
	At the end of the year	2	0.00		

E. Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Mr. Sunil G. Rohokale				
	At the beginning of the year	1,39,93,750	39.98		
	ADD: Allotment of Equity shares 21/4/2018	60,00,000		1,99,93,750	39.99
	At the end of the year	1,99,93,750	39.99		
2.	Mr. Monik S. Koticha				
	At the beginning of the year	1,39,99,998	40.00		
	LESS: Transfer of Equity Shares 16/04/2018	20,00,000	6.00	1,19,99,998	34.00
	LESS: Transfer of Equity Shares 16/04/2018	5,00,000	1.00	1,14,99,998	33.00
	LESS: Transfer of Equity Shares 16/04/2018	6,249	0.00	1,14,93,749	33.00

	LESS: Transfer of Equity Shares 16/04/2018	19,87,500	6.00	95,06,249	27.00
	LESS: Transfer of Equity Shares 16/04/2018	5,00,000	1.00	90,06,249	26.00
	LESS: Transfer of Equity Shares 16/04/2018	6,249	0.00	90,00,000	26.00
	ADD: Allotment of Equity shares 21/4/2018	60,00,000	12.00	1,50,00,000	30.00
	At the end of the year	1,50,00,000	30.00		
3.	Mr. Chalamalasetti Venkateswara Rao				
	At the beginning of the year	69,93,750	19.98		
	ADD: Allotment of equity shares 21/04/2018	30,00,000	6	99,93,750	19.98
	At the end of the year	99,93,750	19.98		
4.	Mr. MSR Manjunatha – Independent Director	0	0	0	0
5.	Mr. Subhash Gandhi – Independent Director	0	0	0	0
6.	Mr. Bonojit Ukil – KMP – Chief Financial Officer	0	0	0	0
7.	Mrs. Raina D'Silva – KMP – Company Secretary w.e.f 01/06/2019	0	0	0	0
8.	Ms. Suguna Krishnamurthy – KMP – Company Secretary until 31/05/2018	0	0	0	0

V) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	516,601,580	150,000,000		666,601,580
ii) Interest due but not paid	645,912	-		645,912
iii) Interest accrued but not due	-	-		-
Total (i+ii+iii)	517,247,492	150,000,000		667,247,492
Change in Indebtedness during the financial year				
* Addition	849,302,506			849,302,506
* Reduction	226,881,248	150,000,000		376,881,248
Net Change	622,421,257	-150,000,000		472,421,257
Indebtedness at the end of the financial year				-
i) Principal Amount	1,139,546,249			1,139,546,249
ii) Interest due but not paid	122,500	-		122,500
iii) Interest accrued but not due	-	-		-
Total (i+ii+iii)	1,139,668,749			1,139,668,749

I. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: (Rs. Lakhs)

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
		Mr. Chalamalasetti Venkateswara Rao	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	41.00	41.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission - as % of profit - others, specify...	Nil	Nil
5	Others, please specify	Nil	Nil
	Total (A)	41.00	41.00
	Ceiling as per the Act	84.00	84.00

B. REMUNERATION TO OTHER DIRECTORS

SN.	Particulars of Remuneration	Name of Directors		Total Amount
1	Independent Directors	MSR Manjunatha	Subhash Gandhi	
	Fee for attending board committee meetings	40,000	20,000	60,000
	Commission	Nil	Nil	Nil
	Others, please specify	Nil	Nil	Nil
	Total (1)	40,000	20,000	60,000
2	Other Non-Executive Directors	Sunil Rohokale	Monik Koticha	
	Fee for attending board committee meetings	Nil	Nil	Nil
	Commission	Nil	Nil	Nil
	Others, please specify	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil
	Total (B)=(1+2)	40,000	20,000	60,000
	Total Managerial Remuneration	Nil	Nil	Nil
	Overall Ceiling as per the Act	Nil	Nil	Nil

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD (Rs. lakhs)

SN	Particulars of Remuneration	Key Managerial Personnel				Total
		CS appointed w.e.f 1 st June 2018	CS resigned on 31 st May 2018	CFO appointed w.e.f 9 th March 2019		
		Ms. Raina D'Silva	Ms. Suguna Krishnamurthy	Mr. Bonojit Ukil		
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3.29	0.92	0.60	4.81	
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil	Nil	
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil	Nil	Nil	
2	Stock Option	Nil	Nil	Nil	Nil	
3	Sweat Equity	Nil	Nil	Nil	Nil	
4	Commission	Nil	Nil	Nil	Nil	
	- as % of profit	Nil	Nil	Nil	Nil	
	others, specify...	Nil	Nil	Nil	Nil	
5	Others, please specify	Nil	Nil	Nil	Nil	
	Total	3.29	0.92	0.60	4.81	

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

During the year under review, Nivara Home Finance Limited or any of its Directors were not liable for any penalty, punishment or any compounding offences under the Companies Act, 2013.

For and on behalf of the Board of Directors

Sd/-
 Chalamalasetti Venkateswara Rao
 Managing Director & CEO
 (DIN: 06994756)

Sd/-
 Monik S. Koticha
 Director
 (DIN 01208653)

Place: Bangalore
 Date: July 02, 2019